



JUN 17 2002

## UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20231  
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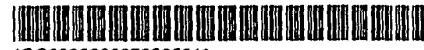
APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/864,921	05/23/2001	1645	609	P-LJ 4752	6	30	5

## CONFIRMATION NO. 1677

23601  
CAMPBELL & FLORES LLP  
4370 LA JOLLA VILLAGE DRIVE  
7TH FLOOR  
SAN DIEGO, CA 92122

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## UPDATED FILING RECEIPT



\*OC000000007920501\*

#8

Date Mailed: 04/22/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

John C. Reed, Rancho Santa Fe, CA;  
Frederick F. Pio, Vancouver, CANADA;  
Adam Godzik, San Diego, CA;  
Christian Stehlík, San Diego, CA;  
Jason S. Damiano, La Jolla, CA;  
Sug Hyung Lee, San Diego, CA;  
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Hideki Hayashi, Nagasaki City, JAPAN;  
Krzysztof Pawłowski, Malmö, SWEDEN;

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TECH CENTER 1600/2900

## Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/275,980 03/14/2001  
AND BENEFIT OF 60/367,329 10/10/00 ABN.  
AND BENEFIT OF 60/325,756 05/24/00 ABN.

## Foreign Applications

If Required, Foreign Filing License Granted 07/12/2001

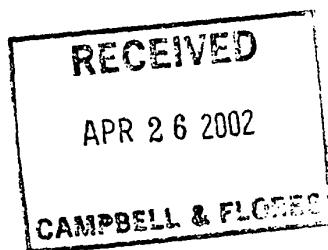
Projected Publication Date: 09/19/2002

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

EXHIBIT D

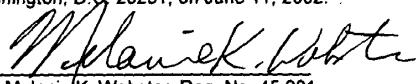




RECEIPT

PATENT  
Our Docket: P-LJ 4752

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: ) Examiner: Unassigned  
Reed et al. )  
Serial No.: 09/864,921 ) Group Art Unit: 1645  
Filed: May 23, 2001 )  
For: CARD DOMAIN CONTAINING )  
POLYPEPTIDES, ENCODING )  
NUCLEIC ACIDS, AND )  
METHODS OF USE )  
\_\_\_\_\_  
I hereby certify that this correspondence is  
being deposited with the United States  
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envelope addressed to: Commissioner for Patents,  
Washington, D.C. 20231, on June 11, 2002.  
By   
Melanie K. Webster, Reg. No. 45,201

June 11, 2002  
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Sir:

The filing receipt issued in the above-identified application is incorrect for the reasons set forth below:

The continuing data as claimed by Applicant is incomplete as shown on the filing receipt. This application claims priority of U.S. Provisional Application No. 60/325,756, filed May 24, 2000, which was converted from U.S. Serial No. 09/579,240 and of U.S. Provisional Application No. 60/367,337, filed October 10, 2000, which was converted from U.S. Serial No. 09/686,347 as shown on the Transmittal Form (Exhibit A). A copy of the Decision on Petition mailed October 12, 2001 for U.S. application serial no. 09/579,240, is attached hereto as Exhibit B, and a copy of the Decision on Petition mailed April 8, 2002, for U.S. application serial no. 09/686,347, is attached hereto as

Inventors: Reed et al.  
Serial No.: 09/864,921  
Filed: May 23, 2001  
Page 2



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Exhibit C. As indicated on the Decision on Petitions, this paragraph should read (with additions in bold):

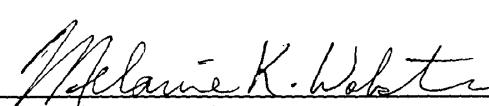
THIS APPLN CLAIMS BENEFIT OF 60/275,980 03/14/01  
AND BENEFIT OF 60/367,337 10/10/00 ABN  
AND BENEFIT OF 60/325,756 05/24/00 ABN.

Attached is a copy of the Filing Receipt (Exhibit D) with the changes noted thereon. Applicants accordingly request that these corrections be made of record and that an amended Filing Receipt be issued.

No fee is deemed necessary in connection with the filing of this Communication. However, if any fee is required, authorization is hereby given to charge any fees to Deposit Account No. 03-0370. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Date: June 11, 2002

  
Melanie K. Webster  
Registration No. 45,201  
Telephone No.: (858) 535-9001  
Facsimile No.: (858) 535-8949

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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> (only for new and continuation-in-part nonprovisional applications under 37 CFR 1.53(b))	Docket No. : P-LJ 4752
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This is a request for filing a  
 new utility patent application under 37 CFR 1.53(b) (1).

continuation-in-part under CFR 1.53(b) (2) of prior application  
 serial no. \_\_\_\_\_, filed \_\_\_\_\_ (list entire  
 parentage).

Title: CARD DOMAIN CONTAINING POLYPEPTIDES, ENCODING NUCLEIC ACIDS,  
 AND METHODS OF USE.

Inventor(s) (full name of each inventor): John C. Reed, Frederick F. Pio,  
 Adam Godzik, Christian Stehlik, Jason S. Damiano, Sug Hyung Lee, Vasco A.  
 Oliveira, Hideki Hayashi and Krzysztof Pawlowski.

Enclosed are:

- Return receipt postcard
- Patent Application Bibliographic Data Sheet
- 1 Page application cover sheet
- 148 Pages of specification (includes claims and abstract)
- 6 Sheets of drawing(s)
- Pages of an executed Declaration for Patent Application
- An executed Power of Attorney for Patent Application by  
 Assignee
- Paper copy of sequence listing, pages 1 through 72.
- Sequence listing in computer readable form
- Statement Under 37 CFR 1.821(f)
- An executed assignment and cover sheet
- An executed Statement Under 37 CFR 3.73(b)
- An executed small entity statement
- Request for Nonpublication and Certification
- Also enclosed: \_\_\_\_\_

This application is based on prior foreign application(s) No. (s) \_\_\_\_\_  
 \_\_\_\_\_, filed in \_\_\_\_\_ on \_\_\_\_\_, respectively  
 and priority is hereby claimed therefrom.

This application is based on, and claims the benefit of, U.S.  
 Provisional Application No. 60/\_\_\_\_\_, filed \_\_\_\_\_, and entitled  
 \_\_\_\_\_, and which is incorporated herein by reference.

This application claims the benefit of three U.S. Provisional Applications: Application Serial No. 60/\_\_\_\_ (yet to be assigned), filed May 24, 2000, which was converted from U.S. Serial No. 09/579,240; and Application No. 60/\_\_\_\_ (Yet to be assigned), filed October 10, 2000, which was converted from U.S. Serial No. 09/686,347; and Application No. 60/275,980, filed March 14, 2001, each of which is incorporated herein by reference in its entirety.

The filing fee has been calculated as shown below:

	Number Filed		Number Extra	Rate			Fee	
				Small Entity	Other Entity		Small Entity	Other Entity
Total Claims	30 - 20	=	10	x \$9	\$18	=	\$0.00	\$
Independent Claims	5 - 3	=	2	x \$40	\$80	=	\$0.00	\$
Multiple Dependent Claims Presented: <u>Yes</u> <input checked="" type="checkbox"/> <u>No</u>				\$135	\$270		\$0.00	\$
BASIC FEE							\$355.00	\$710
TOTAL FEE							\$0.00	\$

A check in the amount of \$ \_\_\_\_\_ to cover the filing fee is enclosed.

The payment of the filing fee is to be deferred until the Declaration is filed. Do not charge our deposit account.

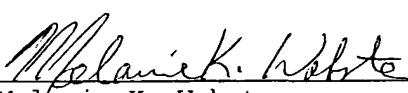
The Commissioner is hereby authorized to charge fees under 37 CFR 1.16 and 1.17 which may be required or credit any overpayment to Deposit Account No. \_\_\_\_\_. A duplicate copy of this sheet is enclosed.

Address all future communications to:

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Respectfully submitted,

Date: May 23, 2001

  
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